



IFG Privacy Policy

It's About Your Financial Future

You may be familiar with doctor-patient confidentiality and attorney-client privileged communications. A *CERTIFIED FINANCIAL PLANNER*[®] (CFP[®]) professional has a similar obligation. In addition, a Registered Investment Advisor (RIA) is held to a fiduciary standard by law. The financial information required, as well as the attendant communications involved, must be treated according to best practices protocol.

Your relationship with your advisor is based on a mutual trust and confidence.

To fulfill its responsibilities to you, The Independent Financial Group requires that you provide current and accurate financial and personal information.

You deserve to expect The Independent Financial Group will protect the information you have provided in a manner that is safe, secure and professional.

The Independent Financial Group is committed to protecting your privacy and to safeguarding that information.”

Safeguarding Customer Documents

During regular business hours access to customer records is monitored so that only those with authorized approval may access the files. During hours in which the company is not in operation, the customer records will be locked.

No individual who is not so authorized shall obtain or seek to obtain personal and financial customer information. No individual with authorization to access personal and financial customer information shall share that information in any manner without the specific consent of a firm principal.

Sharing Nonpublic Personal and Financial Information

The Independent Financial Group is committed to the protection and privacy of its clients' personal and financial information. The Independent Financial Group will not share such information with any nonaffiliated third party except:

- When necessary to complete a transaction in the account, such as with the clearing firm or account custodians
- When required to maintain or service the account
- To resolve customer disputes
- When requested by a fiduciary or beneficiary on the account
- To rating agencies rating, or to the client's attorneys or accountants,
- When required by a regulatory agency, or for other reasons required or permitted by law
- In any circumstance that has the customer's instruction or consent.

Clients can expect the highest standard of professionalism and best practices standards with IFG.

James Lorenzen, CFP[®]
ACCREDITED INVESTMENT FIDUCIARY[®]



THE INDEPENDENT FINANCIAL GROUP
A Registered Investment Advisor
Financial Planning and Wealth Management
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